

CS612 Algorithm Design and Analysis

Lecture 17. String matching ¹

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¹The slides are made based on Randomized Algorithm by R. Motwani and P. Raghavan, <http://www-igm.univ-mlv.fr/~lecroq/string/>, and a lecture by T. Chan.

Outline I

- Introduction
- DFA and KMP methods
- A Monte Carlo method
- Rabin-Karp randomized algorithm;

STRINGMATCHING problem I

INPUT:

Given strings $t = t_1t_2\dots t_n$, ($t_i \in \{0, 1\}$, $i = 1, 2, \dots, n$) and $p = p_1p_2\dots p_m$, ($p_j \in \{0, 1\}$, $j = 1, 2, \dots, m$), $m \leq n$;

OUTPUT:

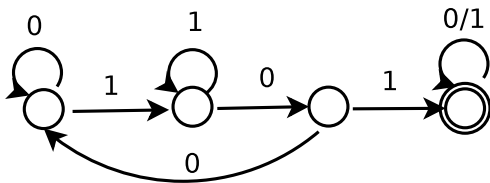
Is p a substring of t ?

t is called “text” and p is called “pattern”.

DFA-based methods

- Brute-force method: checking every possible occurrence of p in t .
Time-complexity: $O(nm)$ (when searching for $a^{m-1}b$ in a^n for instance.)
- DFA-based method:
 - 1 building a DFA for all string containing p ;
 - 2 running this DFA on t ;
 - 3 Time-complexity: $O(f(m) + n)$. Here, $f(m)$ denotes the time to build a DFA. It is possible that $f(m) = O(m)$.

e.g.: $p = 101$



KMP and BM algorithms

1 Karp-Morris-Parrat method:

- Similar to DFA but with a “compressed” representation of DFA.

i	0	1	2	3	4	5	6	7	8
$x[i]$	G	C	A	G	A	G	A	G	
$kmpNext[i]$	-1	0	0	-1	1	-1	1	-1	1

2 Boyer-Moore method:

- The Boyer-Moore algorithm is considered as the most efficient string-matching algorithm in usual applications.
- The algorithm scans the characters of the pattern from right to left beginning with the rightmost one. In case of a mismatch (or a complete match of the whole pattern) it uses two precomputed functions to shift the window to the right. These two shift functions are called the good-suffix shift (also called matching shift and the bad-character shift (also called the occurrence shift).

An interesting sub-problem I

Problem:

- Alice has a string u , and Bob has a string v , $u, v \in \{0, 1\}^*$, $|u| = |v| = n$.
- They want to see whether $u = v$.

Possible ways:

- 1 transmit n bits;
- 2 transmit a “fingerprint” with $O(d \log n)$ bits;

A randomized finger-print:

- Let $x = \{0, 1, \dots, P - 1\}$, where P is a large prime number;
- Define a finger-print $F_x : \{0, 1\}^* \rightarrow \{0, 1, 2, \dots, P - 1\}$ as follows:
$$F_x(a_{n-1}a_{n-2}\dots a_0) = \sum_i a_i x^i \pmod{P}.$$

Monte-Carlo algo:

- 1 $x = \text{random}(0, P - 1)$, where P is a large prime number;
- 2 Alice transfers $F_x(u)$ to Bob;
- 3 Bob calculate $F_x(v)$ first, and reports “Yes” if $F_x(u) = F_x(v)$;
Otherwise reports “No” (definitely “No”).

Error analysis:

- 1 Case 1: ($u = v$). Correct.

- ② Case 2: ($u \neq v$) Error: Bob reports “Yes”, i.e., $F_x(u) = F_x(v)$ when $u \neq v$.

$$\begin{aligned} & \Pr(F_x(u) = F_x(v) | u \neq v) \\ = & \Pr\left(\sum_{i=0}^{n-1} u_i x^i = \sum_{i=0}^{n-1} v_i x^i \pmod{P}\right) \\ = & \Pr\left(\sum_{i=0}^{n-1} (u_i - v_i) x^i = 0\right) \\ \leq & \frac{n-1}{P} \quad \text{by Fact 1.} \end{aligned}$$

- ③ $\Pr(F_x(u) = F_x(v)) \leq \frac{1}{n^d}$ when setting $P = n^{d+1}$.

Fact 1:

A polynomial of degree $\leq n - 1$ has at most $n - 1$ roots \pmod{P} .

- Rabin-Karp Algo (s, t):
 - 1 $x = \text{random}(0, P - 1)$;
 - 2 $A = F_x(s_0s_1\dots s_m), B = F_x(t_0t_1\dots t_m)$;
 - 3 for $i = 0$ to $n - m$
 - 4 //compare $s_{i+1}s_{i+2}\dots s_{i+m}$ with $t_1t_2\dots t_m$;
 - 5 $A = (A - a_{i+1}x^m)x + a_{i+m+1} \pmod P$;
 - 6 if ($A == B$) return "possibly match";
 - 7 return "No";
- Time-complexity: $O(n)$.
- Error probability:
 - 1 Let E_i denote the event: algo errs at the i -th iteration. We have:
 - 2 $\Pr(E_i) \leq \frac{m-1}{P}$
 - 3 $\Pr(\text{Error}) = \Pr(\cup_{i=0}^{n-m} E_i) \leq \sum_i \Pr(E_i) \leq \frac{nm}{P} \leq \frac{n^2}{P}$
 - 4 $\Pr(\text{Error}) \leq \frac{1}{n^d}$ by setting $P = n^{d+1}$.

A Las Vegas version

Algo:

- 1 Run Karp-Rabin;
- 2 if it returns “No”, returns “No”;
- 3 else
- 4 Verify $s = t$;
- 5 if so, return “Yes”; else goto Step 1;

Analysis:

- If Karp-Rabin is correct: $O(n)$ time is enough;
- otherwise, the execution of brute-force algo costs $O(mn)$ time.

Expected running time: $E(T) = O(n)(1 - \frac{1}{n^d}) + O(mn)\frac{1}{n^d} = O(n)$.
(setting $d = 1$)